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Alzheimer's disease diagnosis framework from incomplete multimodal data using convolutional neural networks



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ABSTRACT

Alzheimer's disease (AD) is a severe irreversible neurodegenerative disease that has great sufferings on patients and eventually leads to death. Early detection of AD and its prodromal stage, mild cognitive impairment (MCI) which can be either stable (sMCI) or progressive (pMCI), is highly desirable for effective treatment planning and tailoring therapy. Recent studies recommended using multimodal data fusion of genetic (single nucleotide polymorphisms, SNPs) and neuroimaging data (magnetic resonance imaging (MRI) and positron emission tomography (PET)) to discriminate AD/MCI from normal control (NC) subjects. However, missing multimodal data in the cohort under study is inevitable. In addition, data heterogeneity between phenotypes and genotypes biomarkers makes learning capability of the models more challenging. Also, the current studies mainly focus on identifying brain disease classification and ignoring the regression task. Furthermore, they utilize multistage for predicting the brain disease progression. To address these issues, we propose a novel multimodal neuroimaging and genetic data fusion for joint classification and clinical score regression tasks using the maximum number of available samples in one unified framework using convolutional neural network (CNN). Specifically, we initially perform a technique based on linear interpolation to fill the missing features for each incomplete sample. Then, we learn the neuroimaging features from MRI, PET, and SNPs using CNN to alleviate the heterogeneity among genotype and phenotype data. Meanwhile, the high learned features from each modality are combined for jointly identifying brain diseases and predicting clinical scores. To validate the performance of the proposed method, we test our method on 805 subjects from Alzheimer's Disease Neuroimaging Initiative (ADNI) dataset. Also, we verify the similarity between the synthetic and real data using statistical analysis. Moreover, the experimental results demonstrate that the proposed method can yield better performance in both classification and regression tasks. Specifically, our proposed method achieves accuracy of 98.22%, 93.11%, and 97.35% for NC vs. AD, NC vs. sMCI, and NC vs. pMCI, respectively. On the other hand, our method attains the lowest root mean square error and the highest correlation coefficient for different clinical scores regression tasks compared with the state-ofthe-art methods

1. Introduction

Alzheimer's disease (AD) is a chronic neurodegenerative disease which frequently affects the people over 65 years old and it is considered as the one of the most frequently common cause of dementia [1–3]. In addition, the Alzheimer's Association (2016) reported that, the AD is considered as the sixth-leading cause of death in the United States [4]. Furthermore, there are over 26 million people living with AD all over the world, and it is expected to be 114 million by 2050 [4].

The patients who suffer from subjective memory decline are likely progressed to a transitional prodromal stage which is commonly known as mild cognitive impairment (MCI) stage that eventually becomes AD [5]. Furthermore, the MCI stage can be further categorized into stable MCI (sMCI) and progressive MCI (pMCI). The former likely does not progress and patients keep good life unlike the latter that eventually leads to AD [6]. To date, there is no cure for AD in clinics. Thus, all efforts are devoted towards the early diagnosis of AD especially the transitional stages that are vital for both patients and their caregivers to

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Table 1

Characteristics of the subjects in the ADNI dataset (mean \pm standard deviation (SD)).

| | NC | sMCI | pMCI | AD | Total |
|-------------|------------------|------------------------------------|------------------|------------------------------------|------------------|
| M/F | 118/108 | 150/76 | 106/61 | 103/83 | 477/328 |
| Age (years) | 76.15 ± 5.34 | 75.18 ± 7.34 | 74.83 ± 6.64 | $\textbf{75.19} \pm \textbf{7.47}$ | 75.38 ± 6.73 |
| MMSE | 29.11 ± 1.00 | $\textbf{27.28} \pm \textbf{1.77}$ | 26.59 ± 1.71 | 23.28 ± 2.02 | 26.72 ± 2.67 |
| CDR-SOB | 0.03 ± 0.12 | 0.88 ± 0.72 | 1.24 ± 0.85 | 3.34 ± 1.40 | 1.29 ± 1.49 |
| CDR-GLOB | 0 | 0.50 ± 0.03 | 0.50 ± 0.00 | 0.75 ± 0.25 | 0.42 ± 0.30 |
| ADAS | 6.21 ± 2.92 | 10.33 ± 4.30 | 13.30 ± 4.04 | 18.43 ± 6.70 | 11.66 ± 6.42 |

limit the progression of AD [7].

Recently, there are several biomarkers that can improve the understanding of the disease progression. Neuroimaging (e.g., magnetic resonance imaging (MRI) and positron emission tomography (PET)) and genetic (single nucleotide polymorphisms, SNPs) biomarkers are the most common ones that can be utilized to predict the progression of AD.

The structured MRI is one of the common imaging techniques that is used to measure the brain morphometry besides its sensitivity to neurons degeneration. Hence, the structured MRI can be utilized to predict the disease progression [8,9]. On the other hand, PET is a functional molecular imaging modality that is used to assess the functional changes in the brain and can be employed for understanding the neurophysiological mechanisms of the disease [7,10]. In recent years, the imaging genetic has been widely used to discover the association between the genetic basis (e.g., SNPs) and the quantitative traits of imaging data [11].

Since the breakthrough of deep learning [12], plenty of models have been successfully applied in different computer vision and image processing tasks. The most widely used model is the convolutional neural networks (CNNs) that are used to extract high level features of the entire object [13].

The recent work of multimodality data has attracted considerable attention due to its capability and efficiency in alleviating the limitations of a single modality and hence improves the accuracy of prediction models in disease diagnosis. However, there are still several limitations in the current studies. First, the conventional methods that use multimodal data often utilize several stages for classification and regression tasks. For instance, Zhou et al. [14] proposed stage-wise deep neural network (SWDNN) to discriminate AD and MCI stages. Second, the existing methods do not often use the maximum samples due to incomplete modality. Hence, the performance of the classifier is degraded due to a few training samples [15,16]. Third, the existing methods focus on identifying brain disease classification and ignore the integration of identifying the brain diseases and estimating clinical scores [14,17,18].

To address the aforementioned problems, we propose a novel multimodal neuroimaging and genetic data fusion for joint classification and clinical score regression tasks using the maximum number of available samples in one unified framework using CNN. Specifically, we fill the missing features in PET and SNPs based on linear interpolation to further utilize the maximum number of available samples in our dataset. Then, we apply the CNN for the neuroimaging and genetic data to learn the high-level features from each modality to alleviate the heterogeneity among features then concatenate these features to ultimately classify the disease and estimate the clinical scores. The clinical scores are usually used by physicians to determine the level of dementia of the patients. In our study, the clinical scores are usually used by physicians to determine the level of dementia of the patients. In our study, the clinical score regression includes the following scores: 1) Mini-mental state exam (MMSE) which is used to assess the cognitive functions in areas of memory, attention, language, and visual construction of patient [19]. The MMSE score ranges between 0 and 30, the lower score indicates the sever level of dementia. 2) Clinical dementia rating-sum of boxes (CDR-SOB) is a clinician-rated staging scheme for cognitive and functional abilities and it ranges between 0 and 18, the lower score indicates greater cognitive dysfunction [20]. 3) Clinical dementia rating-global (CDR-GLOB) is the global version of CDR and ranges from 0 to 3 [20]. 4) The last score is AD assessment scale-cognitive subscale (ADAS-Cog) which also assess the severity of AD and it ranges from 0 to 70, higher score suggests greater impairment [20].

The major contributions of this paper are as follows. First, we propose a novel framework to utilize the multimodal data including neuroimaging and genetic data for joint disease classification and clinical scores estimation in one unified framework. It is significantly different from previous works which learn each modality independently and then concatenate the learned features from each modality in independent stages. Second, we propose to utilize the maximum number of available samples in our dataset by filling the missing features for each incomplete sample using linear interpolation. Third, we propose to alleviate data heterogeneity by applying CNN to each modality and concatenate the final features from each modality in series for brain disease classification and the clinical scores regression.

The rest of the paper is organized as follows. In Section 2, we briefly recall the relevant researches in literature. In Section 3, we describe the proposed framework and the filling mechanism, in details. We further describe experimental results and discussion in Section 4. Finally, we conclude this paper in Section 5.

2. Related work

2.1. Machine learning-based methods

In recent years, various machine learning techniques exploiting different aspects of the disease. Zhang and Shen [21] developed a multimodal multi-task sparse learning method to jointly predict multiple clinical variables and discover brain disease status. Liu et al. [22] extracted multiple sets of features from each registered MRI to multiple templates and then modeled the relationships among templates and among individual subjects using the feature selection technique followed by a support vector machine (SVM). Lei et al. [23] introduced a discriminative sparse learning method with relational regularization for joint classification and regression using multimodal features. They improved the diagnosis of AD by developing a loss function that expands the distance to get the geometrical information and also makes use of the inherent information in the observations.

2.2. Deep learning-based methods

In recent years, deep learning methods have been widely investigated for AD classification. Pan et al. [24] developed a method such that they combined CNN and ensemble learning to discriminate AD/MCI patients from normal controls (NC). Lei et al. [25] designed two scenarios to predict clinical scores based on longitudinal multiple time points data. The first scenario utilizes the baseline data to obtain the longitudinal scores prediction while the second scenario uses all the previous time points data to get the predicted scores at the next time point. Liu et al. [26] extracted multiple MRI patches around the discriminative anatomical landmarks to jointly predict the clinical scores and classify AD disease stages using a deep multi-task multichannel CNN. Hong et al. [27] developed a deep model for predicting



Fig. 1. Overview of the proposed method for identifying brain disease classification and predicting clinical scores using CNN.



Fig. 2. The proposed mechanism for filling the missing neuroimaging and genetic data.

the development of AD disease using LSTM by utilizing the longitudinal MRI and PET images to predict the progression of the AD. However, they used the average of each modality for the missing samples. Zhou et al. [14] developed an SWDNN that utilizes neuroimaging and genetic data for classification. They learned neuroimaging and genetic data in three stages to utilize the maximum number of available samples. However, they used three stages for AD diagnosis only without considering clinical scores regression.

3. Methodology

3.1. Subjects

The Alzheimer's disease Neuroimaging Initiative (ADNI) dataset is used in this paper to evaluate the performance of our proposed method (http://adni.loni.usc.edu) [28]. The ADNI dataset contains different multimodal data (MRI, PET, SNPs, and clinical scores). Table 1 summarizes the characteristics of 805 subjects in the ADNI dataset. In this dataset, the number of available samples is 805, 396, and 687 subjects for MRI, PET, and SNPs, respectively, while the number of subjects with



Fig. 3. Normalized histograms and estimated PDFs of the real and complete PET features.



Fig. 4. Normalized histograms and estimated PDFs of the real and complete SNPs features.

Table 2Independent samples *t*-test results for the real and complete dataset ($\alpha = 0.05$).

| | | PET | SNPs | | |
|---------------------------------|---------------------------------|---------|-----------------|---------------------|--|
| | Real Complet dataset dataset | | Real dataset | Complete dataset | |
| Mean | 1.043 | 1.043 | 1.817 | 1.818 | |
| Variance | 0.00052 | 0.00053 | 0.00025 | 0.00022 | |
| Hypothesized mean difference | 0 | | 0 | | |
| Degree of freedom | 795 | | 1430 | | |
| t statistic | -0.132 | | -0.217 | | |
| t Critical two-tail | 1.963 | | 1.962 | | |

complete modalities is 396. To overcome the problem of incomplete modalities, we propose a filling mechanism for the missing PET and SNPs using linear interpolation to be identical with the number of MRI samples.

3.2. Data preprocessing

The goal of the neuroimaging data preprocessing is to extract the regions of interest (ROIs). For ROIs extraction, we followed the same pipeline in [14]. "The preprocessing pipeline of the neuroimaging data is as shown in Fig. 1 (a). For the MR images, we first corrected the anterior commissure-posterior commissure using the MIPAV software [29] then corrected the intensity inhomogeneity using the N3 algorithm [30]. Afterwards, the skull-stripping method in [31] was employed to extract the brain and then we removed the cerebellum. To segment the brain tissues (white matter (WM), gray matter (GM), and cerebrospinal fluid) we used the FAST algorithm in the FSL package[32]. Then, we adopted the HAMMER algorithm [33] to register the segmented brain of each subject to the atlas [34] to get the corresponding ROI labels. Eventually, for each ROI, we computed its GM volume and normalized it with the intracranial volume to obtain the final feature vector of every subject. On the other hand, for the PET images we aligned them to their corresponding T1 MR images using the affine registration. Then, the average PET intensity value of each ROI was calculated as a feature. Using the same atlas, we got the 93 ROIs of each subject. Ultimately, we totally

Table 3

Mean and SD of the real and complete PET and SNPs features.

| Features | PET PET | | | | SNPs | | | | |
|----------|---------|-------|---------|-------------|-------|-------|---------|--------------------|--|
| | re | eal | Real an | d synthetic | re | al | Real an | Real and synthetic | |
| | mean | SD | mean | SD | mean | SD | mean | SD | |
| 1st | 1.154 | 0.072 | 1.175 | 0.071 | 1.892 | 0.307 | 1.882 | 0.309 | |
| 10th | 1.114 | 0.065 | 1.129 | 0.060 | 1.997 | 0.037 | 1.997 | 0.035 | |
| 15th | 1.416 | 0.100 | 1.416 | 0.087 | 1.992 | 0.065 | 1.990 | 0.066 | |
| 20th | 1.210 | 0.104 | 1.205 | 0.084 | 1.530 | 0.601 | 1.520 | 0.579 | |
| 30th | 0.878 | 0.070 | 0.874 | 0.057 | 1.998 | 0.013 | 1.998 | 0.012 | |
| 35th | 0.944 | 0.071 | 0.943 | 0.061 | 1.908 | 0.274 | 1.909 | 0.262 | |
| 40th | 1.293 | 0.099 | 1.295 | 0.084 | 1.331 | 0.657 | 1.324 | 0.630 | |
| 50th | 1.085 | 0.068 | 1.106 | 0.070 | 1.991 | 0.037 | 1.990 | 0.036 | |
| 55th | 1.132 | 0.057 | 1.125 | 0.051 | 1.397 | 0.627 | 1.417 | 0.606 | |
| 60th | 1.064 | 0.069 | 1.078 | 0.071 | 1.615 | 0.541 | 1.605 | 0.524 | |
| 65th | 1.112 | 0.122 | 1.130 | 0.101 | 1.736 | 0.456 | 1.740 | 0.438 | |
| 70th | 1.127 | 0.072 | 1.124 | 0.063 | 1.490 | 0.602 | 1.475 | 0.582 | |
| 75th | 1.153 | 0.064 | 1.173 | 0.060 | 1.615 | 0.540 | 1.605 | 0.522 | |
| 80th | 1.058 | 0.076 | 1.059 | 0.058 | 1.809 | 0.387 | 1.813 | 0.371 | |
| 90th | 1.099 | 0.067 | 1.092 | 0.063 | 1.086 | 0.626 | 1.073 | 0.610 | |

Table 4

Brain disease classification comparisons between the proposed method and the four state-of-art methods for different classification tasks (%).

| Tasks | Method | ACC | SEN | SPEC | PRE | F1 |
|-------------|--------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------|
| NC vs. AD | MTFL | 83.38 ± 10.51 | 87.95 ± 10.51 | $\textbf{79.25} \pm \textbf{14.31}$ | 82.19 ± 15.46 | 84.47 ± 11.72 |
| | LPP | 81.54 ± 10.63 | 82.03 ± 12.09 | 79.03 ± 16.53 | 82.58 ± 16.48 | 81.25 ± 11.65 |
| | PCA | 81.58 ± 9.58 | 84.21 ± 11.12 | 78.96 ± 15.02 | 81.84 ± 14.89 | 82.39 ± 11.0 |
| | SWDNN | 91.35 ± 0.98 | 91.75 ± 1.20 | 90.90 ± 1.47 | 91.86 ± 1.23 | 91.80 ± 0.93 |
| | Ours | 98.22 ± 1.26 | 97.78 ± 2.50 | 98.76 ± 1.26 | 98.99 ± 1.01 | 98.35 ± 1.22 |
| NC vs. sMCI | MTFL | 68.54 ± 10.42 | 62.08 ± 15.48 | 74.85 ± 15.33 | 66.66 ± 21.21 | 63.15 ± 16.17 |
| | LPP | 66.87 ± 7.80 | 62.35 ± 8.98 | 71.29 ± 14.66 | 65.0 ± 18.73 | 62.64 ± 11.02 |
| | PCA | 67.98 ± 9.30 | 60.83 ± 13.59 | 74.85 ± 15.33 | 66.48 ± 21.02 | 62.40 ± 15.04 |
| | SWDNN | 73.62 ± 1.51 | $\textbf{72.0} \pm \textbf{1.51}$ | 75.0 ± 2.12 | 71.08 ± 1.79 | 71.51 ± 1.78 |
| | Ours | 93.11 ± 1.64 | 92.65 ± 3.24 | 93.57 ± 2.65 | 93.60 ± 2.38 | 93.07 ± 1.42 |
| NC vs. pMCI | MTFL | 75.21 ± 16.03 | 79.36 ± 17.51 | 69.67 ± 17.30 | $\textbf{76.97} \pm \textbf{14.45}$ | 77.72 ± 15.08 |
| | LPP | $\textbf{70.12} \pm \textbf{18.92}$ | $\textbf{76.16} \pm \textbf{22.72}$ | 64.55 ± 25.29 | 71.19 ± 18.57 | 72.31 ± 19.57 |
| | PCA | $\textbf{74.87} \pm \textbf{17.80}$ | 81.69 ± 19.23 | 67.40 ± 20.06 | 73.82 ± 17.39 | 76.94 ± 17.93 |
| | SWDNN | 84.97 ± 1.32 | 87.09 ± 1.56 | 82.28 ± 2.34 | 86.18 ± 1.59 | 86.62 ± 1.55 |
| | Ours | 97.35 ± 0.99 | 97.82 ± 1.39 | 96.71 ± 1.46 | 97.58 ± 1.04 | 97.69 ± 0.87 |

📕 ACC 📕 SEN 📗 SPEC 📒 PRE 📕 F1



Fig. 5. Evaluation of the proposed method against competing methods for NC vs. AD task.

have 93 features for each neuroimaging data (MRI or PET) for every subject.

For genetic data, the preprocessing of the SNPs went through the following steps. Firstly, the standard quality control criteria (minor allele frequency < 0.01, poor call rate < 95%, and Hardy-Weinberg equilibrium < 10–6) were initially applied to filter the genotype data

using the PLINK software (http://zzz.bwh.harvard.edu/plink/) [35]. Secondly, the missing individuals' genotypes were imputed using the MACH program [36]. Thirdly, we used the SnpEff software to annotate all the SNPs for top risk 27 genes within \pm 5 k base pairs as in [11]. Finally, we obtained 23,523 SNPs. Compared to dimension of MRI and PET images (i.e., 93 ROIs), the dimension of SNPs is too high and likely



ACC SEN SPEC PRE F1





ACC SEN SPEC PRE F1

Fig. 7. Evaluation of the proposed method against competing methods for NC vs. pMCI task.

Table 5 Clinical scores regression comparisons between the proposed method and the four state-of-art methods for different tasks (%).

| Task | Method | MI | MMSE CDR-SOB | | -SOB | CDR | -GLOB | ADAS | | |
|-------------|--------|------------------------------------|-------------------------------------|------------------------------------|-------------------------------------|-----------------------------------|-------------------------------------|------------------------------------|-------------------------------------|--|
| | | RMSE | CC | RMSE | CC | RMSE | CC | RMSE | CC | |
| NC vs. AD | MTFL | 21.98 ± 4.52 | 63.20 ± 20.49 | 19.0 ± 3.10 | $\textbf{75.78} \pm \textbf{7.48}$ | 29.41 ± 4.82 | $\textbf{75.67} \pm \textbf{6.99}$ | 14.76 ± 3.50 | 65.64 ± 11.46 | |
| | LPP | $\textbf{24.10} \pm \textbf{4.28}$ | 50.05 ± 18.57 | 23.80 ± 5.33 | 55.38 ± 17.17 | 36.10 ± 6.14 | $\textbf{57.19} \pm \textbf{14.0}$ | 13.37 ± 3.31 | $\textbf{57.24} \pm \textbf{10.83}$ | |
| | PCA | 21.89 ± 4.39 | 62.92 ± 18.0 | 18.69 ± 3.58 | $\textbf{75.75} \pm \textbf{9.77}$ | 29.25 ± 4.80 | $\textbf{76.08} \pm \textbf{6.67}$ | 14.82 ± 3.59 | 65.39 ± 11.59 | |
| | SWDNN | 16.64 ± 0.05 | 52.38 ± 9.11 | 18.57 ± 0.10 | $\textbf{79.53} \pm \textbf{1.96}$ | 18.27 ± 0.10 | 82.46 ± 1.11 | 17.81 ± 0.05 | 71.03 ± 3.70 | |
| | Ours | 10.23 ± 0.15 | 84.49 ± 1.89 | 11.03 ± 0.19 | 89.02 ± 1.31 | 11.15 ± 0.16 | 89.87 ± 2.44 | 10.64 ± 0.22 | 81.48 ± 2.10 | |
| NC vs. sMCI | MTFL | 26.14 ± 4.35 | 32.73 ± 21.16 | 20.65 ± 5.20 | $\textbf{22.22} \pm \textbf{10.19}$ | 48.36 ± 6.18 | $\textbf{42.58} \pm \textbf{13.52}$ | 16.94 ± 4.02 | 39.50 ± 17.84 | |
| | LPP | $\textbf{27.42} \pm \textbf{4.18}$ | 26.89 ± 22.60 | 21.14 ± 5.41 | 16.34 ± 9.74 | 47.13 ± 7.36 | 43.73 ± 17.53 | 17.72 ± 5.20 | 40.11 ± 18.84 | |
| | PCA | $\textbf{27.98} \pm \textbf{3.82}$ | 23.90 ± 20.69 | 20.77 ± 5.49 | 21.18 ± 12.41 | 49.08 ± 5.77 | $\textbf{42.04} \pm \textbf{13.34}$ | 17.24 ± 4.64 | 39.0 ± 23.33 | |
| | SWDNN | $\textbf{16.47} \pm \textbf{0.04}$ | $\textbf{28.75} \pm \textbf{4.57}$ | 19.87 ± 18.04 | 44.41 ± 5.62 | 18.05 ± 0.20 | 55.90 ± 2.88 | 16.98 ± 0.06 | $\textbf{36.85} \pm \textbf{8.12}$ | |
| | Ours | 9.85 ± 0.06 | 37.88 ± 4.35 | 11.62 ± 0.16 | 71.60 ± 3.71 | 10.67 ± 0.17 | 85.96 ± 3.03 | 10.10 ± 0.18 | 60.18 ± 4.89 | |
| NC vs. pMCI | MTFL | $\textbf{28.80} \pm \textbf{6.58}$ | $\textbf{35.83} \pm \textbf{19.83}$ | $\textbf{22.49} \pm \textbf{3.70}$ | $\textbf{38.69} \pm \textbf{20.98}$ | 43.53 ± 5.91 | 53.95 ± 13.80 | $\textbf{22.88} \pm \textbf{3.45}$ | 25.98 ± 23.93 | |
| | LPP | 31.51 ± 7.36 | $\textbf{28.97} \pm \textbf{17.22}$ | 21.55 ± 4.56 | 42.36 ± 23.51 | $\textbf{42.42} \pm \textbf{7.0}$ | 55.91 ± 16.94 | 22.77 ± 3.27 | 23.16 ± 19.97 | |
| | PCA | 31.40 ± 6.19 | 29.77 ± 17.95 | 24.35 ± 3.66 | 30.71 ± 16.09 | 43.78 ± 5.82 | 53.24 ± 13.90 | 23.82 ± 3.77 | 25.07 ± 23.27 | |
| | SWDNN | 18.23 ± 0.18 | $\textbf{45.04} \pm \textbf{4.28}$ | 20.85 ± 0.17 | 62.34 ± 2.34 | 19.21 ± 0.14 | $\textbf{77.01} \pm \textbf{1.36}$ | 18.66 ± 0.10 | 52.54 ± 3.62 | |
| | Ours | 10.64 ± 0.06 | 46.30 ± 5.63 | 10.98 ± 0.22 | 77.65 ± 5.36 | 10.54 ± 0.10 | 94.45 ± 4.50 | 11.06 ± 0.16 | 75.19 ± 2.70 | |

leads to overfitting. Therefore, we reduced the dimensionality of the SNPs to be compatible with the dimension of MRI features using the *t*-test as a pre-feature selection via the following Matlab code "https://www.mathworks.com/help/stats/selecting-features-for-classifying

-high-dimensional-data.html".



Fig. 8. ROC curves of the proposed method and SWDNN using different modality combinations for the three classification tasks.



Fig. 9. Classification accuracies of the proposed method using different modality combinations for the three classification tasks.

| Table 6 | |
|---------|--|
|---------|--|

Results of the three classification tasks using different configurations (%).

| Tasks | Method | ACC | SEN | SPE | PRE | F1 |
|-------------|----------|------------------|------------------------------------|------------------|------------------|------------------------------------|
| NC vs. AD | MRI | 94.79 ± 1.99 | 94.55 ± 3.46 | 95.09 ± 3.30 | 96.00 ± 2.51 | 95.20 ± 1.86 |
| | PET | 95.97 ± 2.45 | 94.68 ± 4.31 | 97.54 ± 1.88 | 97.92 ± 1.51 | 96.21 ± 2.41 |
| | SNPs | 90.78 ± 1.77 | 91.47 ± 2.98 | 89.93 ± 2.73 | 91.75 ± 2.00 | 91.57 ± 1.67 |
| | MRI-PET | 97.66 ± 1.51 | 97.13 ± 1.89 | 98.31 ± 2.11 | 98.62 ± 1.66 | 97.85 ± 1.38 |
| | MRI-SNPs | 95.99 ± 2.15 | 95.88 ± 3.11 | 96.11 ± 2.58 | 96.82 ± 2.02 | 96.32 ± 2.02 |
| | PET-SNPs | 97.13 ± 1.92 | 96.54 ± 2.86 | 97.85 ± 1.88 | 98.23 ± 1.48 | 97.35 ± 1.80 |
| | Common | 96.92 ± 1.42 | 99.16 ± 0.93 | 94.41 ± 3.46 | 95.29 ± 2.84 | 97.16 ± 1.28 |
| | Ours | 98.22 ± 1.26 | 97.78 ± 2.50 | 98.76 ± 1.26 | 98.99 ± 1.01 | 98.35 ± 1.22 |
| NC vs. sMCI | MRI | 84.67 ± 3.48 | 83.34 ± 5.62 | 86.01 ± 4.40 | 85.78 ± 3.84 | 84.40 ± 3.74 |
| | PET | 87.34 ± 3.82 | 85.75 ± 6.41 | 88.93 ± 4.72 | 88.76 ± 4.35 | 87.07 ± 4.11 |
| | SNPs | 97.40 ± 2.83 | 74.26 ± 6.28 | 84.53 ± 3.67 | 82.92 ± 3.02 | $\textbf{78.16} \pm \textbf{3.64}$ |
| | MRI-PET | 92.18 ± 2.00 | 91.18 ± 3.63 | 93.17 ± 3.03 | 93.14 ± 2.75 | 92.08 ± 2.10 |
| | MRI-SNPs | 88.28 ± 2.68 | 84.81 ± 5.00 | 91.76 ± 3.16 | 91.25 ± 3.02 | 87.81 ± 3.03 |
| | PET-SNPs | 90.16 ± 2.22 | 88.34 ± 4.78 | 91.98 ± 4.28 | 91.92 ± 3.82 | 89.95 ± 2.40 |
| | Common | 89.79 ± 2.85 | 89.62 ± 5.44 | 89.92 ± 4.53 | 88.62 ± 4.79 | 88.95 ± 3.14 |
| | Ours | 93.11 ± 1.64 | 92.65 ± 3.24 | 93.57 ± 2.65 | 93.60 ± 2.38 | 93.07 ± 1.42 |
| NC vs. pMCI | MRI | 94.48 ± 2.02 | 95.26 ± 2.58 | 93.41 ± 3.09 | 95.19 ± 2.13 | 95.20 ± 1.75 |
| | PET | 94.29 ± 2.54 | 96.14 ± 2.95 | 91.80 ± 4.44 | 94.15 ± 3.00 | 95.09 ± 2.19 |
| | SNPs | 92.83 ± 1.41 | 94.95 ± 1.94 | 89.95 ± 2.51 | 92.78 ± 1.65 | 93.84 ± 1.22 |
| | MRI-PET | 97.07 ± 1.15 | 97.83 ± 1.71 | 96.03 ± 1.88 | 97.13 ± 1.27 | 97.46 ± 1.02 |
| | MRI-SNPs | 95.94 ± 1.42 | 96.85 ± 1.86 | 94.71 ± 2.29 | 96.15 ± 1.60 | 96.48 ± 1.23 |
| | PET-SNPs | 97.22 ± 1.39 | 98.53 ± 0.85 | 95.45 ± 3.05 | 96.76 ± 2.00 | 97.62 ± 1.14 |
| | Common | 93.98 ± 2.18 | 96.05 ± 4.11 | 91.36 ± 4.81 | 93.54 ± 3.31 | 94.67 ± 1.97 |
| | Ours | 97.35 ± 0.99 | $\textbf{97.82} \pm \textbf{1.39}$ | 96.71 ± 1.46 | 97.58 ± 1.04 | 97.69 ± 0.87 |



Fig. 10. Scatter plots of the estimated and the real clinical scores for NC vs. AD using five different methods.



Fig. 11. Scatter plots of the estimated and the real clinical scores for NC vs. sMCI using five different methods.

3.3. Proposed framework

The general framework of our proposed method for joint classification and regression task using multimodal neuroimaging and genetic data is shown in Fig. 1. Our proposed framework maximally utilizes all the available samples from neuroimaging and genetic data by learning all the process in one unified framework. To alleviate the problem of the missing data, we initially filled the incomplete modality (PET and/or SNPs) using linear interpolation as shown in Fig. 2. It is significantly different from previous work which use the average of each reclassified modality to fill the missing of every sample in each modality [37]. Specifically, firstly, we reorganized the whole dataset (Fig. 2(a)) into four independent groups (i.e., NC, sMCI, pMCI, and AD) according to label for each sample (Fig. 2(b)). In this figure, the missing modality is represented as a blank (white) square. Secondly, since the missing modality of the samples was randomly distributed, some consecutive empty rows may appear in the reorganized feature matrix. Thus, we redistributed the missing rows to the closest real samples (rows) in the same category in order to avoid any potential bias in the synthesized data. Finally, we performed the linear interpolation to fill the missing samples.

After filling the incomplete samples, we propose a supervised CNN for identifying brain disease classification and predicting the clinical scores. Our framework utilizes three different types of modalities in one unified framework. We trained each modality using CNN such that the initially learned space representations from each modality are concatenated and then applied to a series of fully connected (FC) layers to predict both classification and the clinical score regression tasks. In this way, the data heterogeneity is alleviated by sequentially concatenating the high-level feature representation from MRI, PET, and SNPs, respectively.

3.4. CNN-Based classification

The CNN is a category of deep neural network which is used to extract the high-level feature representation of the input data [38]. In our study, we used three stacked 2D CNN for extracting the high-level features from neuroimaging and genetic data. Specifically, we used five layers in our network (input, convolution, activation, batch normalization, pooling, and fully connected (FC)) such that volume of



Fig. 12. Scatter plots of the estimated and the real clinical scores for NC vs. pMCI using five different methods.

| Table 7 | |
|--|------------------------|
| Clinical score regression results of the three tasks using differe | nt configurations (%). |

| Tasks | Method | MMSE | | CDR-SOB | | CDR-GLOB | | ADAS | |
|-------------|----------|------------------------------------|------------------------------------|------------------|------------------------------------|------------------|------------------------------------|------------------------------------|------------------------------------|
| | | RMSE | CC | RMSE | CC | RMSE | CC | RMSE | CC |
| NC vs. AD | MRI | 10.33 ± 0.12 | 81.26 ± 3.24 | 11.23 ± 0.16 | $\textbf{84.91} \pm \textbf{3.26}$ | 11.39 ± 0.23 | 85.55 ± 2.35 | 10.74 ± 0.18 | $\textbf{78.46} \pm \textbf{3.31}$ |
| | PET | 10.31 ± 0.10 | 82.11 ± 2.15 | 11.21 ± 0.13 | 84.65 ± 2.76 | 11.24 ± 0.13 | 86.61 ± 2.56 | 10.79 ± 0.09 | $\textbf{73.45} \pm \textbf{3.37}$ |
| | SNPs | 10.24 ± 0.10 | $\textbf{73.66} \pm \textbf{3.03}$ | 11.95 ± 0.20 | $\textbf{71.64} \pm \textbf{4.89}$ | 11.75 ± 0.13 | $\textbf{76.85} \pm \textbf{3.96}$ | 10.98 ± 0.12 | 70.04 ± 3.23 |
| | MRI-PET | 10.30 ± 0.08 | 84.57 ± 2.68 | 11.03 ± 0.19 | $\textbf{88.48} \pm \textbf{1.14}$ | 11.12 ± 0.15 | $\textbf{90.16} \pm \textbf{1.32}$ | 10.65 ± 0.15 | 80.19 ± 3.05 |
| | MRI-SNPs | 10.24 ± 0.13 | 80.36 ± 2.06 | 11.14 ± 0.17 | $\textbf{86.03} \pm \textbf{3.01}$ | 11.25 ± 0.25 | 87.55 ± 3.30 | 10.71 ± 0.11 | 82.12 ± 2.31 |
| | PET-SNPs | 10.27 ± 0.10 | 81.81 ± 3.85 | 11.25 ± 0.35 | 83.29 ± 3.08 | 11.28 ± 0.12 | $\textbf{86.73} \pm \textbf{2.42}$ | 10.74 ± 0.16 | $\textbf{75.15} \pm \textbf{3.03}$ |
| | Common | 17.07 ± 0.09 | $\textbf{74.97} \pm \textbf{5.04}$ | 17.76 ± 0.15 | 94.55 ± 0.81 | 17.47 ± 0.23 | 94.72 ± 1.31 | 17.84 ± 0.19 | 89.09 ± 2.48 |
| | Ours | 10.23 ± 0.15 | $\textbf{84.49} \pm \textbf{1.89}$ | 11.03 ± 0.19 | 89.02 ± 1.31 | 11.15 ± 0.16 | 89.87 ± 2.44 | 10.64 ± 0.22 | 81.48 ± 2.10 |
| NC vs. sMCI | MRI | $\textbf{9.95} \pm \textbf{0.06}$ | 40.51 ± 4.73 | 12.37 ± 0.17 | 62.19 ± 3.88 | 11.17 ± 0.15 | $\textbf{70.94} \pm \textbf{4.81}$ | 10.25 ± 0.13 | 51.12 ± 6.37 |
| | PET | 10.02 ± 0.10 | 33.23 ± 4.37 | 12.49 ± 0.26 | 59.82 ± 3.55 | 10.84 ± 0.26 | $\textbf{80.19} \pm \textbf{5.86}$ | 10.52 ± 0.15 | 41.06 ± 3.98 |
| | SNPs | 10.02 ± 0.07 | 31.59 ± 6.49 | 12.90 ± 0.14 | $\textbf{47.83} \pm \textbf{4.17}$ | 11.38 ± 0.20 | 67.56 ± 4.49 | 10.39 ± 0.10 | 40.33 ± 4.18 |
| | MRI-PET | 10.00 ± 0.07 | 39.02 ± 4.46 | 11.83 ± 0.19 | 69.04 ± 3.61 | 10.73 ± 0.19 | 86.87 ± 2.54 | 10.21 ± 0.18 | 60.88 ± 2.47 |
| | MRI-SNPs | $\textbf{9.90} \pm \textbf{0.05}$ | $\textbf{42.77} \pm \textbf{5.42}$ | 11.99 ± 0.25 | 67.31 ± 3.70 | 10.88 ± 0.22 | $\textbf{78.11} \pm \textbf{3.5}$ | 10.06 ± 0.12 | 59.66 ± 5.42 |
| | PET-SNPs | $\textbf{9.94} \pm \textbf{0.06}$ | 33.61 ± 6.48 | 12.21 ± 0.31 | 62.27 ± 4.69 | 10.70 ± 0.18 | 82.02 ± 5.93 | 10.27 ± 0.17 | 51.86 ± 4.06 |
| | Common | $\textbf{16.22} \pm \textbf{0.19}$ | 67.19 ± 6.13 | 17.45 ± 0.32 | 77.72 ± 4.08 | 16.41 ± 0.17 | $\textbf{86.44} \pm \textbf{3.67}$ | $\textbf{16.44} \pm \textbf{0.17}$ | 74.54 ± 3.28 |
| | Ours | 9.85 ± 0.06 | $\textbf{37.88} \pm \textbf{4.35}$ | 11.62 ± 0.16 | 71.60 ± 3.71 | 10.67 ± 0.17 | 85.96 ± 3.03 | 10.10 ± 0.18 | 60.18 ± 4.89 |
| NC vs. pMCI | MRI | 10.64 ± 0.04 | 79.79 <u>+</u> 6.88 | 11.39 ± 0.25 | $\textbf{71.28} \pm \textbf{5.95}$ | 10.78 ± 0.19 | 91.41 ± 4.21 | 11.19 ± 0.14 | 67.83 ± 4.95 |
| | PET | 10.57 ± 0.05 | $\textbf{46.10} \pm \textbf{6.66}$ | 11.89 ± 0.16 | 59.62 ± 6.01 | 10.81 ± 0.21 | $\textbf{90.21} \pm \textbf{7.88}$ | 11.41 ± 0.11 | 63.76 ± 3.54 |
| | SNPs | 10.67 ± 0.06 | 50.03 ± 4.72 | 11.53 ± 0.21 | 64.13 ± 3.52 | 11.02 ± 0.16 | 85.75 ± 3.51 | 11.25 ± 0.09 | 68.10 ± 3.19 |
| | MRI-PET | 10.63 ± 0.07 | $\textbf{45.57} \pm \textbf{5.04}$ | 10.95 ± 0.20 | $\textbf{76.51} \pm \textbf{3.14}$ | 10.56 ± 0.08 | 94.53 ± 2.32 | 11.13 ± 0.13 | $\textbf{70.06} \pm \textbf{5.03}$ |
| | MRI-SNPs | 10.65 ± 0.05 | $\textbf{47.53} \pm \textbf{4.70}$ | 11.12 ± 0.24 | $\textbf{74.25} \pm \textbf{4.12}$ | 10.60 ± 0.09 | 92.68 ± 3.08 | 11.12 ± 0.14 | 74.13 ± 4.50 |
| | PET-SNPs | 10.65 ± 0.05 | $\textbf{42.89} \pm \textbf{8.32}$ | 11.15 ± 0.21 | 74.14 ± 3.12 | 10.57 ± 0.12 | $\textbf{96.36} \pm \textbf{0.88}$ | 11.17 ± 0.9 | $\textbf{72.83} \pm \textbf{3.79}$ |
| | Common | 18.03 ± 0.17 | $\textbf{78.87} \pm \textbf{3.15}$ | 19.28 ± 0.30 | 87.58 ± 1.72 | 18.03 ± 0.16 | 94.12 ± 1.66 | $\textbf{18.08} \pm \textbf{0.22}$ | 82.71 ± 3.55 |
| | Ours | $\textbf{10.64} \pm \textbf{0.06}$ | $\textbf{46.30} \pm \textbf{5.63}$ | 10.98 ± 0.22 | $\textbf{77.65} \pm \textbf{5.36}$ | 10.54 ± 0.10 | $\textbf{94.45} \pm \textbf{4.50}$ | 11.06 ± 0.16 | $\textbf{75.19} \pm \textbf{2.70}$ |

the input data is transformed from one to another according to the current layer. First, the input data is convolved to a set of kernel filters for extracting high-level feature followed by rectified linear unit as an activation function. In addition, the pooling layer is applied to reduce the space size and hence, reduce the computation complexity and alleviate overfitting. On the other hand, the convolved output is followed by batch normalization to normalize the features and hence speeding up the learning process. Furthermore, the FC layer is used to flatten our matrix to a vector and then the flattened matrix goes through a FC layer for either computing the class scores using a Softmax activation function or estimating the clinical scores using linear activation function.

4. Results and discussions

4.1. Experimental settings

All the experiments are conducted on a machine with Ubuntu 506 Nvidia GTX Titan Xp x2 i76800K and implemented using Keras library with Tensorflow as backend. In our experiments, we applied a grid search for the hyperparameter tuning such that we fixed all the hyperparameters except only the one that is being used as a grid search. Specifically, we find the best batch size within the range of $\{10, 20, \dots, 100\}$. Also, we search the best number of epochs within the range of $\{40, 50, \dots, 100\}$ such that the rest of hyperparameters remained constant for different epochs. Furthermore, we searched the best value for learning rate within the range of $\{10^{-6}, 10^{-5}, \dots, 10^{-2}\}$.



Fig. 13. Top 10 brain regions from MRI data for NC vs. AD, NC vs. sMCI, and NC vs. pMCI shown in top, middle, and bottom rows, respectively.



Fig. 14. Top 10 brain from PET data for NC vs. AD, NC vs. sMCI, and NC vs. pMCI shown in top, middle, and bottom rows, respectively.

| Table 8 | 8 |
|---------|---|
|---------|---|

| · · · · · · · · · · · · · · · · · · · | Гор | 10 | MRI | ROIs | identified | by th | e pro | posed | method | for | the | three | tasks |
|---------------------------------------|-----|----|-----|------|------------|-------|-------|-------|--------|-----|-----|-------|-------|
|---------------------------------------|-----|----|-----|------|------------|-------|-------|-------|--------|-----|-----|-------|-------|

| Tor | o 10 | PET | ROIs | identified | by | the | prop | posed | method | for | the | three | tasks. |
|-----|------|-----|------|------------|-----|-----|------|-------|--------|-----|-----|-------|--------|
| | | | | | - / | | | | | | | | |

| NC vs. AD | | NC vs. sMCI | | NC vs. PMCI | | | NC vs. AD | | NC vs. sMCI | | NC vs. PMCI | |
|-----------|--|-------------|---|-------------|---|-------|--|-------|---|-------|---|--|
| index | Name | index | Name | index | Name | index | Name | index | Name | index | Name | |
| 10 | Superior Frontal Gyrus Right | 42 | Parietal Lobe WM | 6 | Lateral Front- Orbital Gyrus | 89 | Cuneus Right | 70 | Thalamus Left | 73 | Postcentral Gyrus Right | |
| 91 | Thalamus Right | 16 | Frontal Lobe WM | 12 | Globus Palladus Left | 20 | Nucleus Right | 30 | Lobe Wm Right | 34 | Gyrus Right | |
| 14 | Inferior Frontal Gyrus Left | 79 | Right Anterior Limb of | 68 | Entorhinal Cortex Right | 82 | Corpus Callosum | 18 | Angular Gyrus Right | 87 | Angular Gyrus Left | |
| | ., | | Internal Capsule | | | 57 | Medial Front- Orbital Gyrus Left | 91 | Thalamus Right | 17 | Parahippocampal Gyrus Left | |
| 69 | Hippocampal Formation Left | 19 | Right Temporal Pole Right | 39 | Caudate Nucleus Right | 48 | Middle Temporal Gyrus Left | 31 | Inferior Occipital Gyrus Left | 90 | Lateral Occipitotemporal Gyrus Left | |
| 26 | Precuneus Right | 32 | Superior Occipital Gyrus Right | 30 | Hippocampal Formation Right | 74 | Lingual Gyrus Right | 57 | Medial Front- Orbital Gyrus Left | 23 | Cingulate Region Left | |
| 80 | Middle Temporal Gyrus Right | 71 | Parietal Lobe WM | 41 | Precuneus Left | 78 | Parahippocampal Gyrus Right | 41 | Precuneus Left | 25 | Frontal Lobe WM Left | |
| 19 | Temporal Pole Right | 15 | Right Putamen Right | 21 | Nucleus Accumbens Right | 54 | Inferior Frontal Gyrus Right | 7 | Cingulate Region Right | 63 | Temporal Pole Left | |
| 67 | Lateral Occipitotemporal Gyrus Right | 24 | Fornix Left | 93 | Fornix Right | 28 | Posterior Limb of Internal Capsule Inc. Cerebral | 32 | Superior Occipital Gyrus | 68 | Entorhinal Cortex Right | |
| 22 | Uncus Right | 64 | Entorhinal Cortex Left Superior | 78 | Parahippocampal Gyrus Right Caudate Nucleus | 72 | Peduncle Left Insula Left | 87 | Right Angular Curus Left | 4 | Insula Right | |
| 30 | Lobule Left | 00 | Occipital Gyrus Left | 55 | Left | | | | Gyrus Leit | | | |

Table 10 Top 10 genes and SNPs identified by the proposed method for the three tasks.

| NO | C vs. AD | NC | vs. sMCI | NC vs. pMCI | | |
|--------|-------------|--------|-------------|-------------|-------------|--|
| Gene | SNPs | Gene | SNPs | Gene | SNPs | |
| GAB2 | rs118076932 | SORL1 | rs1219450 | ADAM10 | rs73424597 | |
| SORL1 | rs1791943 | SORCS1 | rs188635013 | APOE | rs438811 | |
| PICALM | rs187178331 | ADAM10 | rs11856657 | SORL1 | rs1219433 | |
| SORL1 | rs2850774 | SORL1 | rs144143061 | APOE | rs10119 | |
| APOE | rs483082 | PICALM | rs138888937 | APOE | rs429358 | |
| DAPK1 | rs4878115 | TF | rs1847872 | DAPK1 | rs2274605 | |
| SORL1 | rs1448137 | ADAM10 | rs144083301 | SORL1 | rs7925712 | |
| SORL1 | rs1791957 | SORCS1 | rs142554647 | APOE | rs439401 | |
| IL33 | rs12683567 | ADAM10 | rs141692019 | SORL1 | rs1789750 | |
| SORCS1 | rs7905723 | SORCS1 | rs149926148 | CH25H | rs146757074 | |

Table 11

Comparisons between the proposed method against the state-of-the-art methods on the NC vs. AD classification task.

| Algorithm | Subjects | Modalities | ACC | SEN | SPE |
|----------------------|-------------------|---|-------|-------|-------|
| Zhang et al. [52] | 51AD + 52 NC | $\mathrm{PET} + \mathrm{MRI} + \mathrm{CSF}$ | 93.20 | 93.0 | 93.3 |
| Liu et al. [53] | 93AD + 100 NC | PET | 91.20 | 91.40 | 91.00 |
| Liu et al. | 93 AD + 100 NC | PET + MRI | 93.26 | 92.55 | 93.94 |
| Feng et al. | 93 AD + 100 NC | PET + MRI | 94.29 | 96.59 | 92.38 |
| Feng et al. | 93 AD + 100 NC | PET + MRI | 94.82 | 97.70 | 92.45 |
| Ours | 186AD + 226 NC | $\mathrm{PET} + \mathrm{MRI} + \mathrm{SNPs}$ | 98.20 | 97.78 | 98.76 |

Moreover, we searched the best value of the first order exponential decay rates for the moment estimates, the second order exponential decay rates for the moment estimates, and the epsilon is 10⁻⁶ within the $\{0.990, 0.993, \dots, 0.999\},\$ $\{0, 0.2, \cdots, 1\},\$ ranges of and $\left\{10^{-8},10^{-7},\cdots,10^{-1}\right\}$, respectively. The best found hyperparameters were 40, 80, and 0.2 for the batch size, the number of epochs, the first order exponential decay rate for the moment estimate, respectively. On the other hand, the second order exponential decay rate for the moment estimate was 0.999, the epsilon was 10⁻⁶, while the learning rate of the network was set to 10^{-4} . In this work, we used the binary cross entropy as loss function which yielded the best performance compared to other loss function as it matches the nature of our binary classification task. Finally, we used *k*-fold cross-validation with k = 10 for efficient learning of the model's parameters particularly our dataset size is not big enough. Note that, the results reported in our tables are computed by averaging the 10 repetitions of the10-fold cross-validation.

Furthermore, we used the measures: accuracy (ACC), sensitivity

(SEN), specificity (SPE), precision (PRE), F1-score (F1), and the area under receive operation curve (AUC) to evaluate the performance of the classification. Whereas, the correlation coefficient (CC) and RMSE were used to evaluate the performance of the regression.

4.2. Comparison methods

For comparison, the proposed method was evaluated against four conventional feature representation, including multi-task feature learning (MTFL) [39], locality preserving projection (LPP) [40], principle component analysis (PCA) [41], and SWDNN [14]. We chose these feature selection methods as they are commonly used in literature for the same purpose or similar tasks. Particularly, the MTFL which is widely used to identify the most informative features using combination of the l2,1-norm regularization and least square loss function [39,42]. This feature selection method has been applied for several association studies to identify the genetic markers causes AD. For MTFL, we found the best regularization parameters within the range of $\{10^{-4}, 10^{-3}, \cdots, 10^{-2}\}$. Similarly, LPP is a well-known method that has been applied for several years because of its promising performance in dimension reduction [40,43,44]. The local manifold structure of the data can be preserved by constructing the adjacency graph among data points, and hence the data points mapped to a subspace by learning the projection matrix such that the local neighborhood structure can be well preserved. Finally, PCA is one of the linear dimensional reduction techniques that have been widely used in scientific research [41,45,46]. The goal of the PCA is to transform the data points into the direction of the maximum variance.

To fairly perform fair comparisons with other methods, the three modality features and clinical scores are fused into a single feature vector and the SVM is adopted for classification and regression tasks. Also, all the comparisons are based on three different tasks (NC vs. AD, NC vs. sMCI, and NC vs. pMCI) and the results of all the techniques are

Table 12

Comparisons between the proposed method against the state-of-the-art methods on the NC vs. sMCI classification task.

| r · · · · · · · · · · · · · · · · · · · | | | | | | | | | |
|---|--|---|----------------------------------|----------------------------------|----------------------------------|--|--|--|--|
| Algorithm | Subjects | Modalities | ACC | SEN | SPE | | | | |
| Liu et al.[38] Feng et al. [54] Feng et al.[55] Ours | 128sMCI + 100NC 128sMCI + 100NC 128sMCI + 100NC 226sMCI + 226NC | PET + MRI PET + MRI PET + MRI PET + MRI + SNPs | 64.04 64.47 65.35 93.11 | 63.07 70.43 70.59 92.65 | 67.05 48.41 69.17 93.57 | | | | |
| | | | | | | | | | |

Table 13

Comparisons between the proposed method against the state-of-the-art methods on the NC vs. pMCI classification task.

| Algorithm | Subjects | Modalities | ACC | SEN | SPE |
|-----------------|-------------------|------------------|-------|-------|-------|
| Liu et al.[38] | 76pMCI + 100NC | PET + MRI | 82.95 | 81.08 | 84.31 |
| Feng et al [54] | 76pMCI + 100NC | PET + MRI | 84.66 | 83.56 | 85.44 |
| Feng et al.[55] | 76pMCI + 100NC | PET + MRI | 86.36 | 83.33 | 88.78 |
| Ours | 167 pMCI + 226 NC | PET + MRI + SNPs | 97.35 | 97.82 | 96.71 |

computed by averaging the 10 repetitions of 10-fold cross-validation. Furthermore, we empirically search the best value for the soft margin parameter C of SVM classifier within the range of $\{10^{-4}, 10^{-3}, \dots, 10^{-4}\}$.

4.3. Characteristics of the complete dataset

Figs. 3 and 4 show the normalized histogram and estimated probability distribution function (PDF) with number of features between the real and the complete datasets (real + synthetic) of PET and SNPs samples, respectively. It is shown that the normalized histogram and PDF of the real dataset and the complete dataset are close to each other.

To further evaluate the effect of synthetic data on the complete dataset, we performed a statistical *t*-test under risk level ($\alpha = 0.05$) for the mean of the real and complete dataset for PET and SNPs (Table 2). It is shown that the corresponding critical value in the *t*-distribution table under the specified α value is 1.963 and 1.962 for PET and SNPs, respectively. Comparing the *t*-values with the *t*-critical for PET and SNPs, we failed to reject the null hypothesis [47]. Therefore, we could claim that there is no significance difference between the real and the complete dataset for PET and SNPs.

On the other hand, Table 3 shows the mean and SD for fifteen randomly selected features from real and complete dataset (including the synthetic samples). It is clear that, the mean and SD of the complete dataset and the real data are close with nonsignificant variance between them. Hence, the bias in the complete dataset can be ignored. Accordingly, the diagnosis of samples with missing modality can be taken in consideration using our data filling method.

4.4. Classification results

The binary disease classification results for three tasks are given in Table 4 (boldfaces indicate the best performance). In addition, Figs. 5-7 illustrate the boxplot of ACC, SEN, SPEC, PRE, and F1 for the different classification tasks. It is clear that, the proposed method significantly outperforms the competing methods in three classification tasks using five performance metrics. Moreover, our proposed method achieved 98.22%, 93.11%, and 97.35% for NC vs. AD, NC vs. sMCI, and NC vs. pMCI, respectively. Furthermore, our proposed method attained the highest sensitivity in different tasks compared to the conventional methods, which indicate that the discrimination between AD and NC can be effectively increased by our proposed method. Hence, the proposed method can accurately identify AD patients, in comparison to those listed methods. The main reasons of obtaining such performance are the ability of the proposed method to learn the high-level features from all available samples in the ADNI dataset and the effectiveness of combining multimodal data as well.

Table 5 shows the performance comparison of state-of-arts methods and our proposed method on clinical scores of NC vs. AD, NC vs, PMCI, and NC vs. pMCI. It is obvious that, our proposed method achieves the lowest RMSE and the highest CC compared to those provided by SWDNN and other machine learning techniques. On the other hand, Fig. 8 shows the ROC curves of different combinations of the proposed method and SWDNN for NC vs. AD, NC vs. sMCI, and NC vs. pMCI classification tasks. We can notice that, the multi-modal fusion achieves the highest AUC compared with competing methods and different combination of modalities.

Fig. 9 and Tables 6 show the comparisons between the proposed method and different combinations of modalities for three different tasks. It is clear that, the involving of SNPs for AD diagnosis is not always achieving a promising result for classification or regression. For example, combining the SNPs with MRI reduces the performance of classification and regression. However, the combining SNPs with PET achieves better performance than using individual modality. Moreover, the multi-modality fusion achieves higher performance than single modality which verifies the effectiveness of the proposed method for

improving the diagnosis of AD. The results of the proposed method are consistent with that achieved in the literature [14]. Besides, our proposed method achieves the best performance in terms of disease classifications.

4.5. Regression results

Figs. 10-12 illustrate the scatter plot of the estimated clinical score vs. the real clinical scores for NC vs. AD, NC vs. sMCI, and NC vs. pMCI, respectively. Furthermore, Table 7 represents the clinical score regression results of the three tasks using different configurations. It is clear that the proposed method can achieve better performance than that obtained by the competing methods in the regression of four clinical scores. Specifically, the MMSE correlation of our method is 84.49%, 37.88%, and 46.30% for the NC vs. AD, NC vs. sMCI, and NC vs. pMCI, respectively. Also, the CDR-SOB correlation of our method is 89.02%, 71.60%, and 77.65% for the NC vs. AD, NC vs. sMCI, and NC vs. pMCI, respectively. In addition, the CDR-GLOB correlation of our method is 89.87%, 85.96%, and 94.45% for the NC vs. AD, NC vs. sMCI, and NC vs. pMCI, respectively. Finally, the ADAS correlation of our method is 81.48%, 60.18%, and 75.19% for the NC vs. AD, NC vs. sMCI, and NC vs. pMCI, respectively. From these results, we can notice that our proposed method attains the highest correlation compared to the state-of-art methods of the four clinical scores by at least 7.41%, 5.15%, and 1.26% for the NC vs. AD, NC vs. sMCI, and NC vs. pMCI, respectively. On the other hand, the proposed method attains the lowest RMSE of 10.23%, 9.85%, and 10.64% for the NC vs. AD, NC vs. sMCI, and NC vs. pMCI, respectively. Also, the CDR-SOB obtained by our method has an improved RMSE of 11.03%, 11.62%, and 10.98% for the NC vs. AD, NC vs. sMCI, and NC vs. pMCI, respectively. Similarly, the RMSE of the CDR-GLOB achieved by our method is better than competing methods by 11.15%, 10.67%, and 10.54% for the NC vs. AD, NC vs. sMCI, and NC vs. pMCI, respectively. Likewise, our method attains the lowest RMSE for ADAS by 10.64%, 10.10%, and 11.06% for the NC vs. AD, NC vs. sMCI, and NC vs. pMCI, respectively. Finally, it is obvious that our proposed method attains the lowest RMSE compared to the state-of-art methods of the four clinical scores by at least 2.73%, 6.62%, and 7.59% for the NC vs. AD, NC vs. sMCI, and NC vs. pMCI, respectively.

4.6. Discriminative ROIs and SNPs

Figs. 13 and 14 show the top 10 ROIs identified for MRI and PET data for three classification tasks, respectively. We conclude the top 10 ROIs for each modality in Tables 8 and 9. Moreover, these finding are also consistent with the previous studies on AD diagnosis [44,48–50].

Table 10 summarizes the top 10 SNPs and their corresponding genes names identified by our proposed method. These findings are consistent with those reported in many AD diagnostic studies also genome-wide association studies and hence verify the effectiveness of our proposed method to identify the most discriminative brain regions and the most relevant SNPs cause AD [11,51].

4.7. Comparisons with previous studies

Tables 11-13 compare the performance of proposed method with the state-of-the-arts methods on the ADNI database for the three classification tasks. It is shown that, our proposed method achieves better performance than the competing methods in most cases. However, our proposed method has a three major contribution compared to the previous studies. Firstly, our method uses multimodal neuroimaging and genetic data fusion for jointly brain disease classification and estimate the clinical score in one unified framework. Secondly, we propose to utilize the maximum number of the available samples by adopting linear interpolation to fill the incomplete samples. Third, we alleviate the data heterogeneity by concatenating the output features from each modality for brain disease classification and the clinical scores estimation. In general, our method outperforms the previous methods with single and multimodal data. Furthermore, our proposed method can identify the most discriminative SNPs related to AD.

5. Conclusions and future work

In this work, a robust one-stage joint classification and regression using deep learning framework was proposed for diagnosing AD. Our framework is significantly different from previous works that learned the multimodal data including neuroimaging and genetic data in multiple stages for AD diagnosis. We first introduced a filling mechanism of the missing neuroimaging and genetic data and preserved the local structure of the complete dataset. This was proved to be significant via the statistical t-test with almost no bias between the real and the complete datasets. Furthermore, we learned the neuroimaging features from MRI, PET, and SNPs using CNN to alleviate the heterogeneity among genotype and phenotype data. Afterwards, the learned high-level features from each modality are combined for jointly identifying brain diseases and predicting clinical scores. Compared with the traditional methods, our proposed method demonstrated superior performance against competing methods in both tasks of disease classification and clinical scores regression.

Although, the proposed method achieved better performance in both classification and regression tasks than conventional methods in literature, there are few limitations that we will try to address in our future work. First, the proposed method only considered the ADNI 805 dataset. However, including more data shall improve the performance. We will investigate this issue by combining ADNI-2 dataset with ADNI-1 dataset. Second, in this work, we used brain ROIs as features which may induce missing information. This can be addressed by using the original imaging data and use attentive deep neural network models to extract the high-level features.

CRediT authorship contribution statement

Mohammed Abdelaziz: Conceptualization, Methodology, Software, Writing - review & editing. **Tianfu Wang:** Supervision, Guidance, and Research Support. **Ahmed Elazab:** Conceptualization, Data curation, Visualization, Writing - review & editing.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix A. Supplementary data

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